

**Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.**

**OPB**  
**KEELEY INVESTMENT CORP**  
**401 SOUTH LASALLE STREET**  
**SUITE 1201**  
**CHICAGO IL 60605**

**SEC Rule 606 Quarterly Report for the Quarter Ending December 31, 2009**

**Securities Listed on New York Stock Exchange**

**Summary Statistics :**

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	77.65%
Limit orders as percentage of total non-directed orders	21.97%
Other orders as percentage of total non-directed orders	0.39%

**Venues Receiving Significant Percentage of Total Non-Directed Orders :**

1. New York Stock Exchange	21.39%
2. National Financial Services, L.L.C.	18.50%
3. Knight Capital Markets, L.L.C.	14.26%
4. UBS Securities, L.L.C.	10.02%
5. Citadel Derivatives Group LLC	7.51%
6. Automated Trading Desk Financial Services, L.L.C.	5.97%
7. E*Trade Cap MKTS/CHXL Trading	4.43%
8. Keeley Investment Corp.	3.47%
9. BATS Trading, Inc.	3.08%
10. Goldman Sachs Execution & Clearing, L.P.	2.70%

**Information Concerning Significant Venues :**

**1. New York Stock Exchange**

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	10.92%
Limit orders as percentage of total limit orders	58.77%
Other orders as percentage of total other orders	0.00%

**2. National Financial Services, L.L.C.**

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	21.09%
Limit orders as percentage of total limit orders	9.65%
Other orders as percentage of total other orders	0.00%

3. Knight Capital Markets, L.L.C.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	14.64%
	Limit orders as percentage of total limit orders	12.28%
	Other orders as percentage of total other orders	50.00%
4. UBS Securities, L.L.C.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	10.42%
	Limit orders as percentage of total limit orders	7.89%
	Other orders as percentage of total other orders	50.00%
5. Citadel Derivatives Group LLC		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	8.68%
	Limit orders as percentage of total limit orders	3.51%
	Other orders as percentage of total other orders	0.00%
6. Automated Trading Desk Financial Services, L.L.C.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	7.69%
	Limit orders as percentage of total limit orders	0.00%
	Other orders as percentage of total other orders	0.00%
7. E*Trade Cap MKTS/CHXL Trading		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	5.71%
	Limit orders as percentage of total limit orders	0.00%
	Other orders as percentage of total other orders	0.00%
8. Keeley Investment Corp.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	4.47%
	Limit orders as percentage of total limit orders	0.00%
	Other orders as percentage of total other orders	0.00%
9. BATS Trading, Inc.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	3.23%
	Limit orders as percentage of total limit orders	2.63%
	Other orders as percentage of total other orders	0.00%
10. Goldman Sachs Execution & Clearing, L.P.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	3.23%
	Limit orders as percentage of total limit orders	0.88%
	Other orders as percentage of total other orders	0.00%

## Securities Listed on The Nasdaq Stock Market

### Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	77.03%
Limit orders as percentage of total non-directed orders	22.43%
Other orders as percentage of total non-directed orders	0.54%

### Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. Knight Equity Markets, L.P.	52.97%
2. UBS Securities, L.L.C.	16.76%
3. Keeley Investment Corp.	8.11%
4. National Financial Services, L.L.C.	8.11%
5. Citadel Derivatives Group LLC	4.05%
6. Automated Trading Desk Financial Services, L.L.C.	2.70%
7. Direct Edge ECN L.L.C.	2.43%
8. Citigroup Global Markets, Inc.	2.16%
9. BATS Trading, Inc.	0.81%
10. E*Trade Cap MKTS/CHXL Trading	0.54%

### Information Concerning Significant Venues :

#### 1. Knight Equity Markets, L.P.

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	55.44%
Limit orders as percentage of total limit orders	44.58%
Other orders as percentage of total other orders	50.00%

#### 2. UBS Securities, L.L.C.

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	15.79%
Limit orders as percentage of total limit orders	19.28%
Other orders as percentage of total other orders	50.00%

#### 3. Keeley Investment Corp.

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	10.53%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

#### 4. National Financial Services, L.L.C.

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	5.96%
Limit orders as percentage of total limit orders	15.66%
Other orders as percentage of total other orders	0.00%

#### 5. Citadel Derivatives Group LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	4.21%
Limit orders as percentage of total limit orders	3.61%
Other orders as percentage of total other orders	0.00%

6. Automated Trading Desk Financial Services, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.81%
Limit orders as percentage of total limit orders	2.41%
Other orders as percentage of total other orders	0.00%

7. Direct Edge ECN L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	10.84%
Other orders as percentage of total other orders	0.00%

8. Citigroup Global Markets, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.11%
Limit orders as percentage of total limit orders	2.41%
Other orders as percentage of total other orders	0.00%

9. BATS Trading, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.70%
Limit orders as percentage of total limit orders	1.20%
Other orders as percentage of total other orders	0.00%

10. E\*Trade Cap MKTS/CHXL Trading

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.70%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

## Securities Listed on NYSE Amex or Regional Exchanges

### Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	66.97%
Limit orders as percentage of total non-directed orders	33.03%
Other orders as percentage of total non-directed orders	0.00%

### Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. Automated Trading Desk Financial Services, L.L.C.	21.10%
2. New York Stock Exchange	21.10%
3. National Financial Services, L.L.C.	11.01%
4. Citadel Derivatives Group LLC	10.09%
5. Knight Capital Markets, L.L.C.	10.09%
6. NYSE Amex	9.17%
7. E*Trade Cap MKTS/CHXL Trading	6.42%
8. Keeley Investment Corp.	3.67%
9. MND Partners, Inc	2.75%
10. UBS Securities, L.L.C.	1.83%

### Information Concerning Significant Venues :

1. Automated Trading Desk Financial Services, L.L.C.	
Types of Orders Routed to Venue :	
Market orders as percentage of total market orders	20.55%
Limit orders as percentage of total limit orders	22.22%
Other orders as percentage of total other orders	0.00%
2. New York Stock Exchange	
Types of Orders Routed to Venue :	
Market orders as percentage of total market orders	4.11%
Limit orders as percentage of total limit orders	55.56%
Other orders as percentage of total other orders	0.00%
3. National Financial Services, L.L.C.	
Types of Orders Routed to Venue :	
Market orders as percentage of total market orders	16.44%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%
4. Citadel Derivatives Group LLC	
Types of Orders Routed to Venue :	
Market orders as percentage of total market orders	15.07%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%
5. Knight Capital Markets, L.L.C.	
Types of Orders Routed to Venue :	
Market orders as percentage of total market orders	15.07%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

6. NYSE Amex

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	4.11%
Limit orders as percentage of total limit orders	19.44%
Other orders as percentage of total other orders	0.00%

7. E\*Trade Cap MKTS/CHXL Trading

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	9.59%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

8. Keeley Investment Corp.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	5.48%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

9. MND Partners, Inc

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	4.11%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

10. UBS Securities, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.37%
Limit orders as percentage of total limit orders	2.78%
Other orders as percentage of total other orders	0.00%

## Exchange - Listed Options

### Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	19.79%
Limit orders as percentage of total non-directed orders	80.21%
Other orders as percentage of total non-directed orders	0.00%

### Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. The Chicago Board Options Exchange	61.20%
2. The International Securities Exchange	9.64%
3. NASDAQ OMX PHLX	8.33%
4. NYSE Arca Options	8.07%
5. NYSE Amex Options	6.25%
6. The Boston Option Exchange	3.91%
7. Nasdaq Execution Services, L.L.C.	2.34%
8. Other	0.26%

### Information Concerning Significant Venues :

#### 1. The Chicago Board Options Exchange

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	39.47%
Limit orders as percentage of total limit orders	66.56%
Other orders as percentage of total other orders	0.00%

#### 2. The International Securities Exchange

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	9.21%
Limit orders as percentage of total limit orders	9.74%
Other orders as percentage of total other orders	0.00%

#### 3. NASDAQ OMX PHLX

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	6.58%
Limit orders as percentage of total limit orders	8.77%
Other orders as percentage of total other orders	0.00%

#### 4. NYSE Arca Options

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	17.11%
Limit orders as percentage of total limit orders	5.84%
Other orders as percentage of total other orders	0.00%

#### 5. NYSE Amex Options

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	10.53%
Limit orders as percentage of total limit orders	5.19%
Other orders as percentage of total other orders	0.00%

6. The Boston Option Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	10.53%
Limit orders as percentage of total limit orders	2.27%
Other orders as percentage of total other orders	0.00%

7. Nasdaq Execution Services, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	5.26%
Limit orders as percentage of total limit orders	1.62%
Other orders as percentage of total other orders	0.00%

8. Other

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.32%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%